PHIN
Certification
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and
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Areas for PHIN Preparedness Certification

- Early Event Detection
- Countermeasure and Response Administration
- Outbreak Management
- Partner Communications and Alerting
- Connecting Laboratory Systems
- Cross Functional Components

Agenda

- *Role of Certification Team*
- *Certification Time Line*
- *Begin Certification Process*
  - Download Documents and Tools from PHIN Website
    - Functional Requirements and FSAT
    - Demo of Self Assessment Tool
    - KPMs, Messaging IGs and Test Scenarios
    - Demo of Online Validation Tool
- *Technical Assistance Group Support*
- *Formal Certification Process*
- *Certification Reporting*

Certification Time Line

Begin Certification Process
Download PHIN Requirements Documents

TA Group Support
in Understanding Requirements and KPMs

Formal Certification
of Functional Requirements and KPMs

Formal Reporting
of Certification Results to CDC and Partner Organizations

Certification Process Timeline

Begin Certification Process

- *Download PHIN Documents and Tools*
  - PHIN Functional Requirements and KPM Documents
  - Functional Self Assessment Tools
  - Located at http://www.cdc.gov/phin/
- *Identify current available solutions*
- *Determine gaps*
- *Identify individuals to work on certification*
- *Determine Questions for TA Group*
- *Setup conference call with TA Group*

Role of Certification Team

- Certification Team
  - *Provide functional self-assessment tools*
  - *Provide message validation tools*
  - *Provide test scenarios*
  - *Conduct formal certification review*
  - *Provide assistance with tools*
  - *Manage inquiries throughout the certification process*

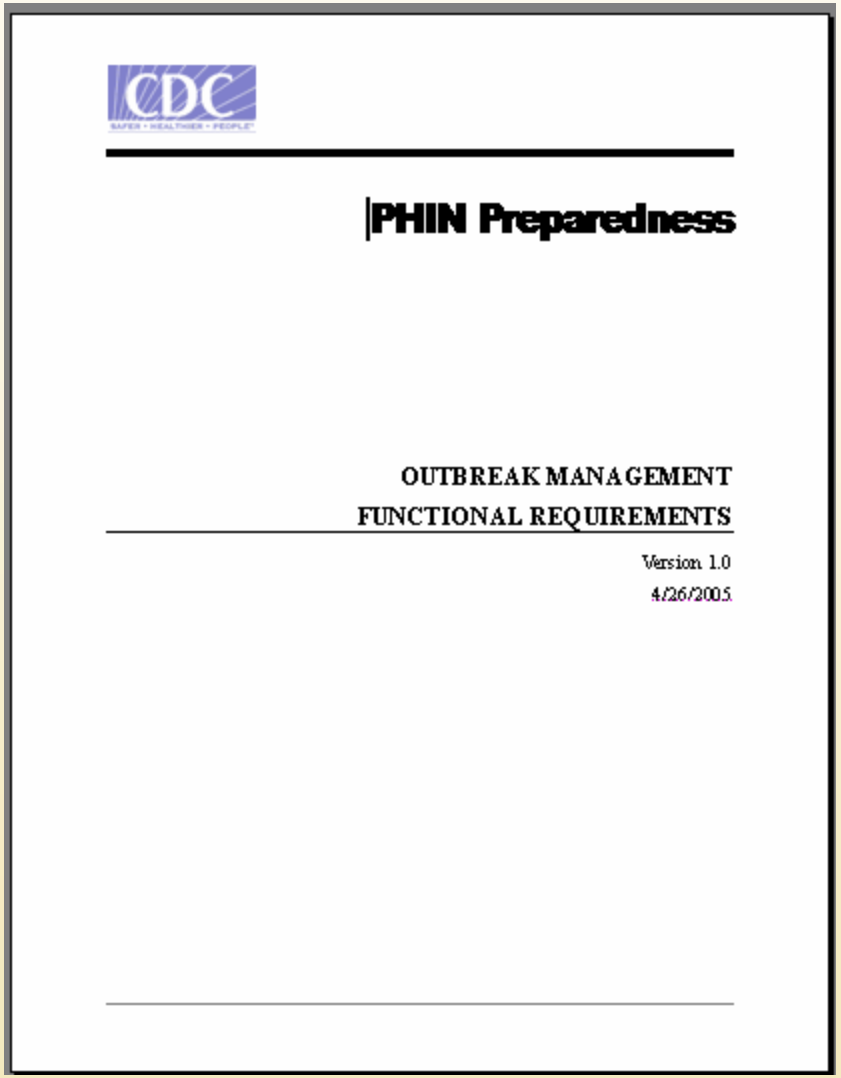
Functional Requirements Document

and

Functional Self-assessment Tool

Functional Requirements Document

Functional Requirements Documents are the basis for all Self Assessment tools and the Certification Process



CDC

PHIN Preparedness

OUTBREAK MANAGEMENT
FUNCTIONAL REQUIREMENTS

Version 1.0
4/26/2005

Excerpt from OM Requirements Document

2.1 SYSTEM ARCHITECTURE

2.1.1 Systems designed to support OM must offer configuration flexibility so that new data fields, entities, entity types and relationship types may be added to capture information unique to each particular health event.

2.1.2 Systems supporting OM must support structured data entry for common forms and fields to ensure data integrity, validity, and standardization. A standardized data structure ensures that data mapping of common elements will only be necessary one time, rather than for each event.

Excerpt from OM FSAT Document

Same Information as in the requirements document, but now in a question and answer format.

Outbreak Management Version 1.0 - Based on RSv1.0 of the Requirements Document					
Critical or Non Critical	**PHIN Requirement Cross Reference Number**	**System Architecture:** Broad system-level needs, such as flexible configuration, should be addressed by systems supporting OM.	**Preferred Validation Method (The Cert Team will describe preferred method by which jurisdiction can demonstrate compliance.) (Examples: Demo; User Manual; Screen Prints)**	**Does the system perform this function or capture this information? (Answer is Y or N)**	**Special considerations or special issues or special training needed to perform this function or to capture this information.**
Critical? Not Critical?	**Tracking #**	**Requirement**	**Validation Method**	**Jurisdiction Captures**	**Special Considerations**
Section 2.1 - System Architecture					
Critical	**2.1.1**	**In order to capture the information unique to each particular event, does the OM solution permit configuration flexibility so that new data fields, entities, and relationships may be added?**	**Documentation and User Demo**	**N**	
Critical	**2.1.2**	**Does the OM solution support structured data entry for common forms and fields to ensure data integrity, validity, and standardization?**	**Documentation**	**N**	

FSAT Summary sheet for Results Reporting

Outbreak Management Version 1.0 - Based on RSv1.0 of the Requirements Document

FSAT_OM_Ver1.0_Final

[Type your jurisdiction name here]

PHIN Functional Specification: ***Outbreak Management***

Total % Answered Yes	Critical	Non-Critical
	0%	0%

Critical Requirements	% Answered Yes	Number Yes	Number No
System Architecture	0%	0	3
Data Requirements	0%	0	34
Case Investigation	0%	0	10
AVR Generation	0%	0	2
System Integration and Data Exchange	0%	0	5
Operations	0%	0	0
		0	54
Total % - Critical		0%	100%

Non-Critical Requirements	% Answered Yes	Number Yes	Number No
System Architecture	0%	0	4
Data Requirements	0%	0	26
Case Investigation	0%	0	8
AVR Generation	0%	0	10
System Integration and Data Exchange	0%	0	7
Operations	0%	0	6
		0	61
Total % - Non-Critical		0%	100%

FSAT Demo

- *Demo – Joseph Esquibel*

KPM Documents and Tools

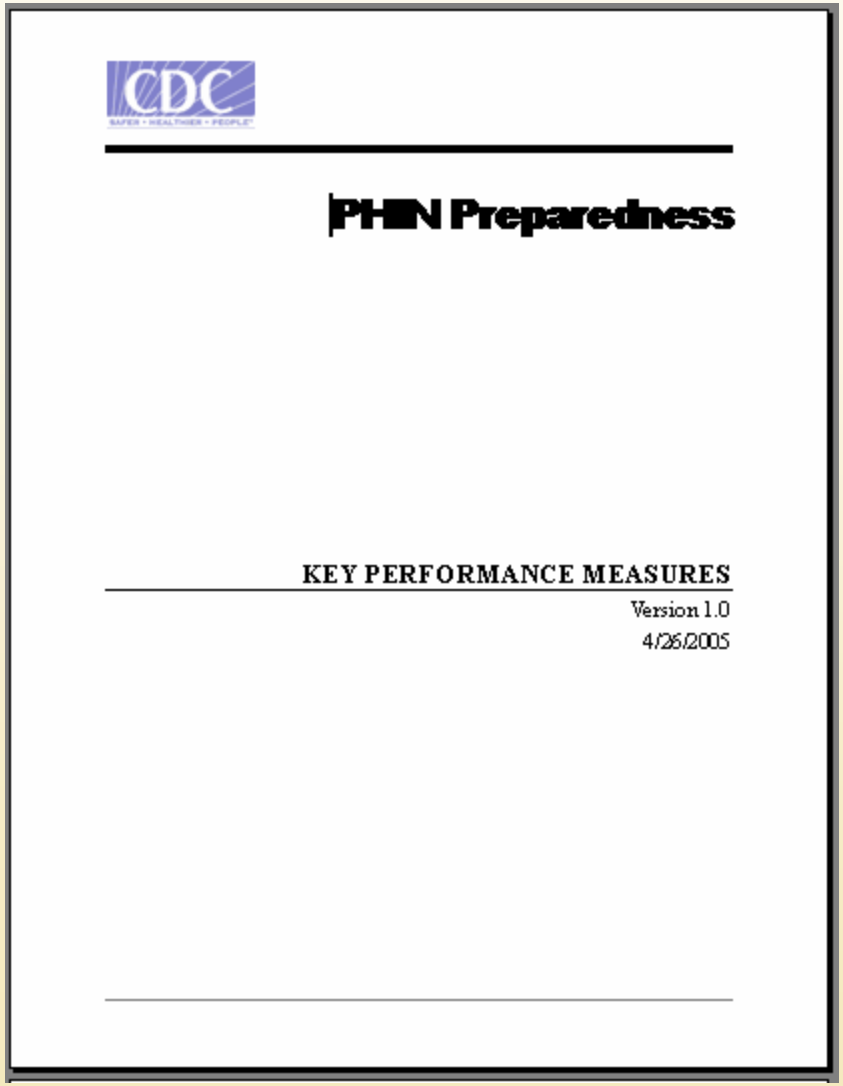
- *Key Performance Measures*
- *Message Implementation Guides*
- *Test Scenarios*
- *Message Validation Tool*

KPM Certification

- *Verify Secure Message Transport*
- *Have ability to create message from PHIN functional solution*
- *Download KPM Documentation, Message IGs, and Test Scenarios*
- *As needed, use online message validation tool*
- *Contact TA group for assistance in understanding documentation and guides*

KPM Document

Document used to define the critical testable criteria for each functional area that are called KPMs



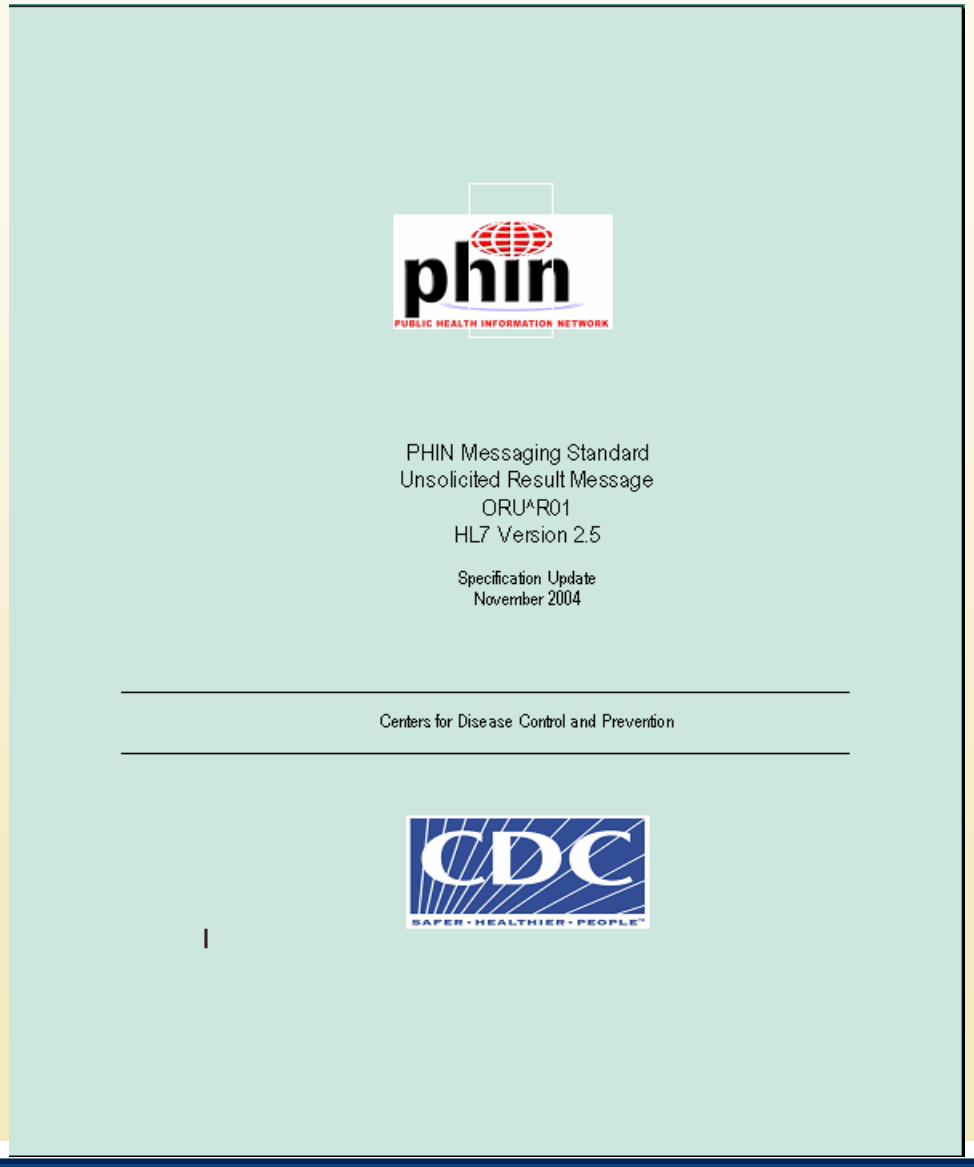
Excerpt from KPM Document

Identifying the PHIN Functional Areas and the associated KPM for each.

#	Performance Measure	PHIN Preparedness Functional Areas					
		EED	OM	CRA	PCA	CLS	CFC
2	Systems must exchange messages for investigations and for exposure contacts in accordance with: with: • *PHIN Investigation and Exposure Notification Message Implementation Guide*, available at *www.cdc.gov/phin*.		S R				
3	Systems must exchange messages for laboratory results in accordance with: • *PHIN Laboratory Result – Generic Message Implementation Guide*, available at *www.cdc.gov/phin*, and • *PHIN Laboratory Results – Biological Agent Message Implementation Guide*, available at *www.cdc.gov/phin*.	R	R			S R	
4	Systems must exchange messages for laboratory test requests in accordance with: • *PHIN Laboratory Test Order Message Implementation Guide*, available at *www.cdc.gov/phin*.		S			S R	
5	Systems must be able to receive health related data for early event detection purposes including data that are in the following formats: • *PHIN Healthcare Encounter – Chief Complaint Message Implementation Guide*, available at *www.cdc.gov/phin*, and • *PHIN Healthcare Encounter – Diagnosis Message Implementation Guide*, available at *www.cdc.gov/phin*.	R					

Message Implementation Guide

Implementation Guide for creation of messages used in the Certification Test Scenarios



PHIN Messaging Standard
Unsolicited Result Message
ORU^R01
HL7 Version 2.5

Specification Update
November 2004

Centers for Disease Control and Prevention

Test Scenario Document for Certification

The Test Case Scenarios for each Functional Area's KPM can be found on the PHIN website

PHIN Preparedness

(DRAFT for discussion)

Connecting Lab Systems KPM Certification Process and Test Scenarios

Version 1.5_0420

4/21/2005

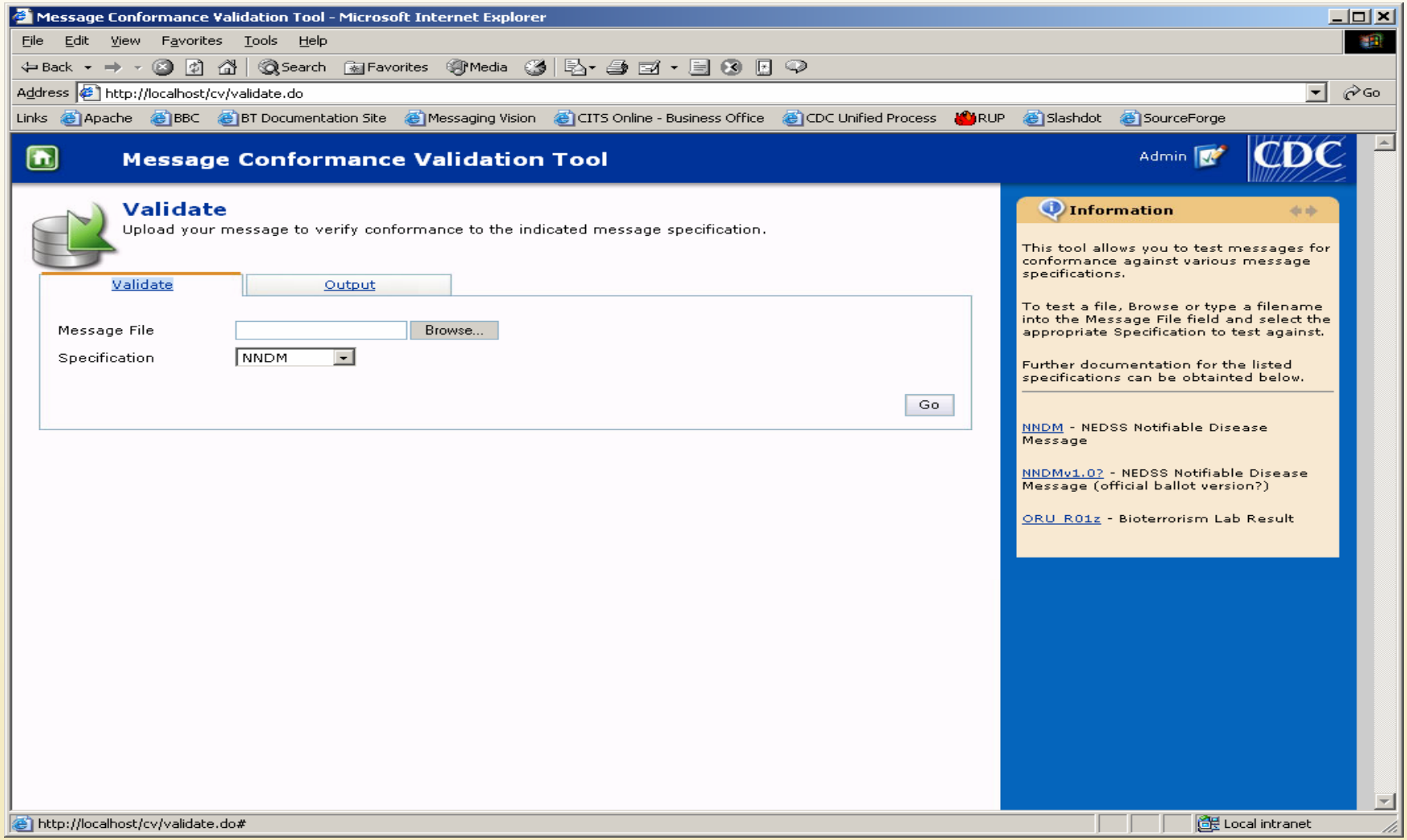
DRAFT

Example Scenario - Summary

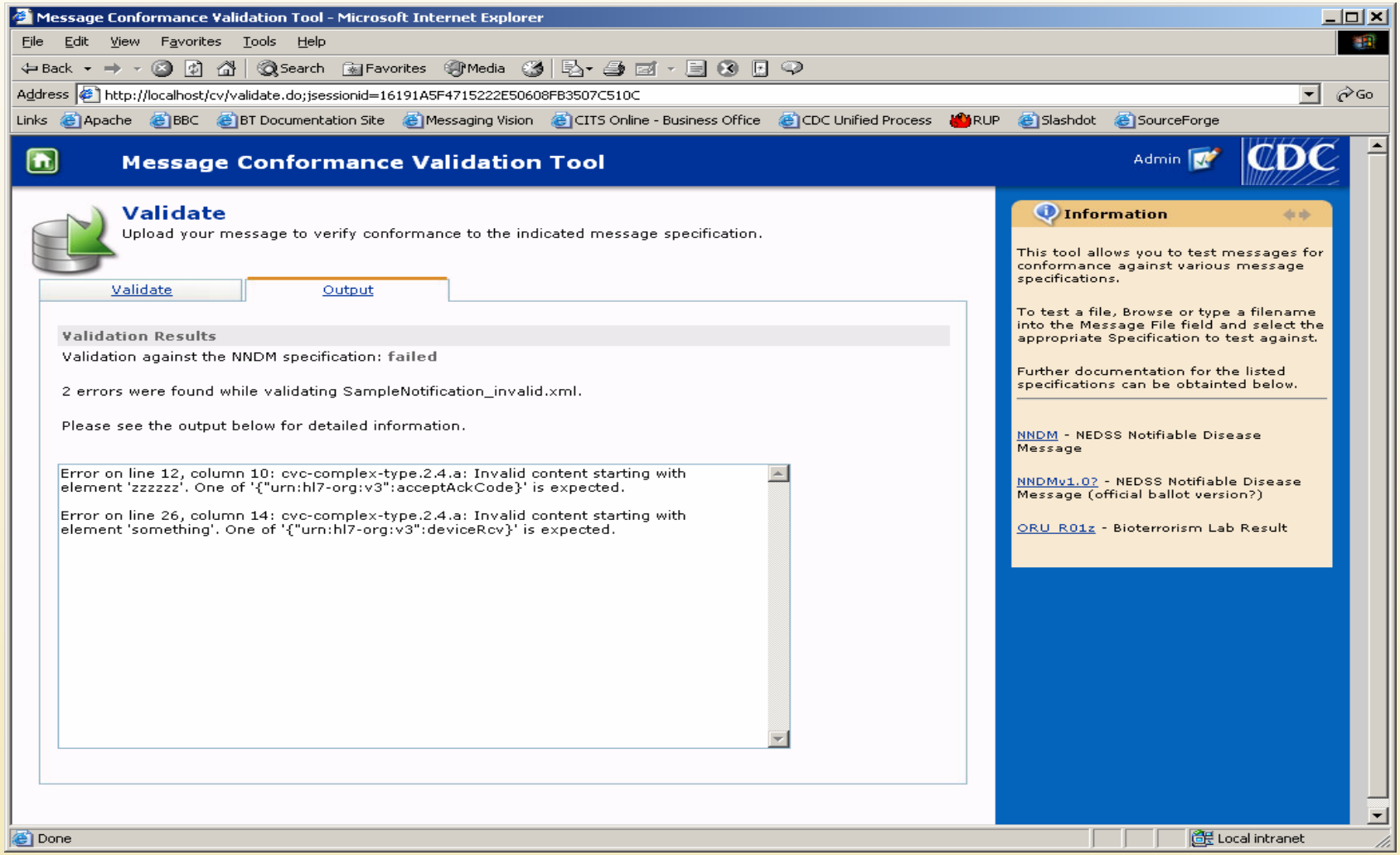
A. Scenario 1 – Send Laboratory Results (Lab B to Lab A)

Test Scenario Description	Valid Inputs	Expected Outcome
Test DOH Lab outgoing result message ❑ V.a.1 – The DOH Laboratory will send a Test Results message to a partner laboratory (Certification Team).	❑ OUL^R22 (***HL7 v2.5***) message with the following segments using the information supplied *(page 7 & 8)*: MSH, PID, SPM, SAC, OBR, ORC, and OBX	❑ Partner Lab will Accept OUL^R22 (HL7 v2.5) results message ❑ Message structure is valid ❑ Message content is valid ❑ Vocabulary is valid

Online Validation Tool



Validation Output



Message Validation Tool

- *Demo*

Technical Assistance Support

- *Work with Partners to understand the PHIN functional requirements*
- *Help with understanding the KPMs and how to get them implemented*
- *Explain and help with the implementation of CDC based solutions*

Formal Certification

- *Initiate Certification Process*
  - Send in Self Assessment Form
  - Request KPM Certification
- *Validation of PHIN Functional Area Capabilities*
  - Online Demonstration of solution
  - Screen Shots
  - Reports
- *Demonstrate KPM functionality. For example,*
  - Send valid message to certification team
  - Send an alert and confirm its delivery to a specific role
- *Review and assessment*
  - Error Resolution
- *Results presented to jurisdiction for review*
- *Documentation of certification results*

Certification Reporting

- *PHIN Functional Areas*
  - Certified as Passed or Failed
  - Progress reported as percent complete
- *Specific KPMs (Messages and Metrics)*
  - Certified as Passed or Failed
  - Progress reported as percent KPMs completed based on PHIN functional area
- *Certification Status Reports*
  - Summary and detailed formats
  - Formal hardcopy can be requested at any time during the process

Contact Information

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Questions and Answers